



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 2879

DATE SCANNED 6/9/2015

SCANNER NO. 2

SCAN OPERATOR EL



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

2014 DEC 16 AM 9:25
FEDERAL ELECTION
COMMISSION
SECRETARIAT

December 15, 2014

MEMORANDUM

SENSITIVE

TO: The Commission

THROUGH: Alec Palmer *AP*
Staff Director

FROM: Patricia C. Orrock *for PCO*
Chief Compliance Officer

Debbie Chacona *for DC*
Assistant Staff Director
Reports Analysis Division

BY: *KDR* Kristin D. Roser/Sari Pickerall *SP*
Reports Analysis Division
Compliance Branch

SUBJECT: Reason To Believe Recommendation – 2014 12 Day Pre-General Report
(Authorized Committees) for the Administrative Fine Program

Attached is a list of political committees and their treasurers who failed to file or timely file the 2014 12 Day Pre-General Report in accordance with 52 U.S.C. § 30104(a) (formerly 2 U.S.C. 434(a)). The 12 Day Pre-General Report was due on October 23, 2014. The list is comprised of authorized committees whose candidates sought election in the General Election held on November 4, 2014.

The committees listed on the attached RTB Circulation Report either filed the election sensitive report after the due date, failed to file the report, or failed to file the report prior to four (4) days before the General Election (considered a non-filed report). In accordance with the schedule of civil money penalties at 11 CFR 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

An explanation of the civil money penalties calculation for two (2) committees that failed to file the report is outlined below:

The committee (AF 2876) represents a candidate that participated in the 2014 General Election. The committee was required to file a 2014 12 Day Pre-General Report (12G) covering October 1, 2014 through October 15, 2014 (15 days). In order to determine the civil money penalty for the 2014 12 Day Pre-General Report, an estimated level of activity was calculated using a per diem average by multiplying the previous activity reported for the 2013-2014 election cycle (\$113,575) by 9.49% (the number of days required in 12G (15) divided by the number of days included in the Committee's 2013-2014 election cycle reports (158)).

The committee (AF 2880) represents a candidate that participated in the 2014 General Election. The committee was required to file a 2014 12 Day Pre-General Report (12G) covering October 1, 2014 through October 15, 2014 (15 days). In order to determine the civil money penalty for the 2014 12 Day Pre-General Report, an estimated level of activity was calculated using a per diem average by multiplying the previous activity reported for the 2013-2014 election cycle (\$119,177) by 16.30% (the number of days required in 12G (15) divided by the number of days included in the Committee's 2013-2014 election cycle reports (92)).

Recommendation

1. Find reason to believe that the political committees and their treasurers listed on the RTB Circulation Report violated 52 U.S.C. § 30104(a) (formerly 2 U.S.C. 434(a)) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
2. Send the appropriate letters.

12/15/2014 4:49 PM

Federal Election Commission
Reason to Believe Circulation Report
2014 PRE-GENERAL Election Sensitive 10/23/2014 AUTH

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
2874	C00552091	COMMITTEE TO ELECT JOYCE DICKERSON FOR US SENATE	DICKERSON, JOYCE	JOYCE DICKERSON	\$129,357	0	12/4/2014	Not Filed	\$9,952	\$660
2875	C00554840	FRIENDS OF TATE MACQUEEN	MACQUEEN, TATE	LORI MURPHY	\$134,102	0	10/30/2014	7	\$7,501	\$180
2876	C00561100	JEFF JONES FOR SENATE	JONES, JEFFREY ALLAN	DANIEL J. JONES	\$113,575	0		Not Filed	\$10,778 (est)	\$1,090

2878	C00543538	RON LEACH FOR CONGRESS CAMPAIGN COMMITTEE	LEACH, RONALD ALLEN	THERESA DRAKE	\$225,451	0	12/3/2014	Not Filed	\$1,440	\$550
2879	C00556530	STEPHEN H SHOGAN FOR SENATE	SHOGAN, STEPHEN H	LISA CHAIKEN	\$403,101	0	10/30/2014	7	\$8,700	\$180
2880	C00566331	WADE FOR US SENATE 14	WADE, KEVIN L	JAMES PICCONI	\$119,177	0		Not Filed	\$19,425 (est)	\$1,090

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason To Believe Recommendation - 2014)
12 Day Pre-General Report (Authorized)
Committees) for the Administrative Fine)
Program:)
COMMITTEE TO ELECT JOYCE) AF# 2874
DICKERSON FOR US SENATE, and)
JOYCE DICKERSON as treasurer;)
FRIENDS OF TATE MACQUEEN, and) AF# 2875
LORI MURPHY as treasurer;)
JEFF JONES FOR SENATE, and DANIEL) AF# 2876
J JONES as treasurer;)

RON LEACH FOR CONGRESS) AF# 2878
CAMPAIGN COMMITTEE, and DRAKE,)
THERESA MS as treasurer;)
STEPHEN H SHOGAN FOR SENATE,) AF# 2879
and LISA CHAIKEN as treasurer;)
WADE FOR US SENATE 14, and JAMES) AF# 2880
PICCONI as treasurer;)

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on December 17, 2014 the Commission took the following actions on the Reason To Believe Recommendation - 2014 12 Day Pre-General Report (Authorized Committees) for the Administrative Fine Program as recommended in the Reports Analysis Division's Memorandum dated December 15, 2014, on the following committees:

AF#2874 Decided by a vote of 6-0 to: (1) find reason to believe that COMMITTEE TO ELECT JOYCE DICKERSON FOR US SENATE, and JOYCE DICKERSON as treasurer violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2875 Decided by a vote of 6-0 to: (1) find reason to believe that FRIENDS OF TATE MACQUEEN, and LORI MURPHY as treasurer violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2876 Decided by a vote of 6-0 to: (1) find reason to believe that JEFF JONES FOR SENATE, and DANIEL J JONES as treasurer violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2878 Decided by a vote of 6-0 to: (1) find reason to believe that RON LEACH FOR CONGRESS CAMPAIGN COMMITTEE, and DRAKE, THERESA MS as treasurer violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2879 Decided by a vote of 6-0 to: (1) find reason to believe that STEPHEN H SHOGAN FOR SENATE, and LISA CHAIKEN as treasurer violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2880 Decided by a vote of 6-0 to: (1) find reason to believe that WADE FOR US SENATE 14, and JAMES PICCONI as treasurer violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Attest:

December 18, 2014
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 18, 2014

Lisa Chaiken, in official capacity as Treasurer
Stephen H. Shogan for Senate
P.O. Box 370230
Denver, CO 80237

C00556530
AF#: 2879

Dear Ms. Chaiken:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a 12 Day Pre-General Report of Receipts and Disbursements in a calendar year during which there is a regularly scheduled election for which the candidate is seeking election, or nomination for election. This report, covering the period October 1, 2014 through October 15, 2014, shall be filed no later than October 23, 2014. 52 U.S.C. § 30104(a) (formerly 2 U.S.C. § 434(a)). Records at the Federal Election Commission ("FEC") indicate that this report was filed on October 30, 2014, 7 days late.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 52 U.S.C. § 30104(a) (formerly 2 U.S.C. § 434(a)). 52 U.S.C. § 30109(a)(4) (formerly 2 U.S.C. § 437g(a)(4)). On December 17, 2014, the FEC found that there is reason to believe ("RTB") that Stephen H. Shogan for Senate and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) (formerly 2 U.S.C. § 434(a)) by failing to file timely this report on or before October 23, 2014. Based on the FEC's schedules of civil money penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$180. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <http://www.fec.gov/af/af.shtml>. 11 CFR § 111.34. Your payment of \$180 is due within forty (40) days of the finding, or by January 26, 2015, and is based on these factors:

Election Sensitivity of Report: Election Sensitive
Level of Activity: \$8,700
Number of Days Late: 7
Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate

3. If You Choose to Pay the Civil Money Penalty

NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

If you make a payment in an amount less than the calculated civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assesses upon making a final determination.

If you make a payment in an amount less than the calculated civil money penalty as an offer to settle or compromise a debt owed to the Commission, the offer is herewith rejected despite any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assesses upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2. above.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 52 U.S.C. § 30109(a)(2) (formerly 2 U.S.C. § 437g(a)(2)). It will remain confidential in accordance with 52 U.S.C. § 30109(a)(4)(B) (formerly 2 U.S.C. § 437g(a)(4)(B)) and 30109(a)(12)(A) (formerly 437g(a)(12)(A)) until it is placed on the public record in accordance with 11 CFR § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

Lee E. Gorb

Lee E. Goodman
Chairman

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at RTB is \$180 for the 2014 Pre-General Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by January 26, 2015. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Stephen H. Shogan for Senate

FEC ID#: C00556530

AF#: 2879

PAYMENT DUE DATE: January 26, 2015

PAYMENT AMOUNT DUE: \$180



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

2015
FEDERAL ELECTION
COMMISSION
SECRETARIAT

May 21, 2015 2015 MAY 21 P 4: 20

MEMORANDUM

SENSITIVE

TO: The Commission

THROUGH: Alec Palmer *EWH/for*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: Kristin D. Roser/Sari Pickerall *KDR* *SP*
Reports Analysis Division
Compliance Branch

SUBJECT: Administrative Fine Program – Final Determination Recommendation for the
2014 12 Day Pre-General Report (Authorized Committees)

Attached are two lists of political committees and their treasurers against which the Commission has found reason to believe (RTB) and assessed proposed civil money penalties calculated at RTB for failure to file or failure to timely file the 2014 12 Day Pre-General Report. The first list represents the committees that have paid the civil money penalty and the second list represents the committees that have not paid the civil money penalty. The committees that have not paid have been given at least forty (40) days from the date of the Commission's RTB finding to remit payment.

In accordance with 11 CFR § 111.34 and 11 CFR § 111.40, the Commission shall send a final determination notice to those respondents that have either paid or not paid the civil money penalty.

For your information, one (1) committee detailed below designated a new Treasurer after the RTB finding:

- Wade for US Senate 14 filed an Amended Statement of Organization after the RTB finding designating as Kevin Wade as Treasurer (see second list).

RAD Recommendation

- (1) Make a final determination that the political committees and their treasurers listed on the attached reports violated 52 U.S.C. § 30104(a) (formerly 2 U.S.C. § 434(a)) and assess the final civil money penalties so indicated.
- (2) Send the appropriate letters.

1500N0140001

5/21/2015 4:05 PM

Federal Election Commission
FD Circulation Report Fine Paid
2014 PRE-GENERAL Election Sensitive 10/23/2014 AUTH

AF#	Committee Name	Candidate Name	Committee ID	Treasurer	Receipt Date	Days Late	LOA	PV	RTB Date	RTB Penalty	Final Money Penalty	Date Paid	Amount Paid
2875	FRIENDS OF TATE MACQUEEN	MACQUEEN, TATE	C00554840	LORI MURPHY	10/30/2014	7	\$7,501	0	12/17/2014	\$180	\$180	02/18/2015	\$180
2879	STEPHEN H SHOGAN FOR SENATE	SHOGAN, STEPHEN H	C00556530	LISA CHAIKEN	10/30/2014	7	\$8,700	0	12/17/2014	\$180	\$180	01/21/2015	\$180

5/21/2015 4:06 PM

Federal Election Commission
FD Circulation Report Fine Not Paid
2014 PRE-GENERAL Election Sensitive 10/23/2014 AUTH

AF#	Committee Name	Candidate Name	Committee ID	Treasurer	Receipt Date	Days Late	LOA	PV	RTB Date	RTB Penalty	Days Since RTB	FD Penalty
2874	COMMITTEE TO ELECT JOYCE DICKERSON FOR US SENATE	DICKERSON, JOYCE	C00552091	JOYCE DICKERSON	12/04/2014	Not Filed	\$9,952	0	12/17/2014	\$660	155	\$660
2878	RON LEACH FOR CONGRESS CAMPAIGN COMMITTEE	LEACH, RONALD ALLEN	C00543538	THERESA DRAKE	12/03/2014	Not Filed	\$1,440	0	12/17/2014	\$550	155	\$550
2880	WADE FOR US SENATE 14	WADE, KEVIN L	C00566331	KEVIN WADE	01/26/2015	Not Filed	\$26,097	0	12/17/2014	\$1,090	155	\$1,090

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Administrative Fines Program - Final)
Determination Recommendation for the)
2014 12 Day Pre-General Report)
(Authorized Committees):)
COMMITTEE TO ELECT JOYCE) AF# 2874
DICKERSON FOR US SENATE, and)
JOYCE DICKERSON as treasurer;)
FRIENDS OF TATE MACQUEEN, and) AF# 2875
LORI MURPHY as treasurer;)
RON LEACH FOR CONGRESS) AF# 2878
CAMPAIGN COMMITTEE, and DRAKE,)
THERESA MS as treasurer;)
STEPHEN H SHOGAN FOR SENATE,) AF# 2879
and LISA CHAIKEN as treasurer;)
WADE FOR US SENATE 14, and KEVIN) AF# 2880
WADE as treasurer;)

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on May 26, 2015 the Commission took the following actions on the Administrative Fines Program - Final Determination Recommendation for the 2014 12 Day Pre-General Report (Authorized Committees) as recommended in the Reports Analysis Division's Memorandum dated May 21, 2015, on the following committees:

AF#2874 Decided by a vote of 6-0 to: (1) make a final determination that COMMITTEE TO ELECT JOYCE DICKERSON FOR US SENATE, and JOYCE DICKERSON as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2875 Decided by a vote of 6-0 to: (1) make a final determination that FRIENDS OF TATE MACQUEEN, and LORI MURPHY as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2878 Decided by a vote of 6-0 to: (1) make a final determination that RON LEACH FOR CONGRESS CAMPAIGN COMMITTEE, and DRAKE, THERESA MS as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2879 Decided by a vote of 6-0 to: (1) make a final determination that STEPHEN H SHOGAN FOR SENATE, and LISA CHAIKEN as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2880 Decided by a vote of 6-0 to: (1) make a final determination that WADE FOR US SENATE 14, and KEVIN WADE as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Attest:

May 27, 2015
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 27, 2015

Lisa Chaiken, in official capacity as Treasurer
Stephen H. Shogan for Senate
P.O. Box 370230
Denver, CO 80237

C00556530
AF#: 2879

Dear Ms. Chaiken:

On December 17, 2014, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Stephen H. Shogan for Senate and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) (formerly 2 U.S.C. § 434(a)) for filing late or failing to file the 2014 Pre-General Report. By letter dated December 18, 2014, the Commission notified you of the RTB finding and the civil money penalty calculated at the RTB stage totaling \$180 in accordance with the schedule of penalties at 11 CFR § 111.43.

On January 21, 2015, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on May 26, 2015 that Stephen H. Shogan for Senate and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) (formerly 2 U.S.C. § 434(a)), assessed a civil money penalty in the amount of \$180 in accordance with 11 CFR § 111.43, and voted to close the file.

The confidentiality provisions at 52 U.S.C. § 30109(a)(12) (formerly 2 U.S.C. § 437g(a)(12)) no longer apply and this matter is now public. Pursuant to 11 CFR §§ 111.42(b) and 111.20(c), the file will be placed on the public record within thirty (30) days from the date of this notification.

If you have any questions regarding this matter, please contact Sari Pickerall on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,

A handwritten signature in black ink that reads "Ann M. Ravel". The signature is fluid and cursive.

Ann M. Ravel
Chair

Lockbox: GLX-979058 Ledger Date 01/20/2015



**St. Louis GA Lockbox
(314) 425-1818**

Batch	Item	TID	Batch Total	Amount
1	3	Y-4806711	\$1,945.00	\$180.00

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR ANSWERS.

FOR: Stephen H. Shogan for Senate

FEC ID#: C00556530

AF#: 2879

PAYMENT DUE DATE: January 26, 2015

PAYMENT AMOUNT DUE: \$180



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 2879

DATE SCANNED

6/9/2015

SCANNER NO.

2

SCAN OPERATOR

EL